

**NEW YORK STATE DEPARTMENT OF TRANSPORTATION  
DISADVANTAGED BUSINESS ENTERPRISE (DBE)  
CERTIFICATION ELIGIBILITY AFFIDAVIT**

Company Name: \_\_\_\_\_

Address: \_\_\_\_\_

\_\_\_\_\_

Telephone: \_\_\_\_\_ Fax: \_\_\_\_\_

Email: \_\_\_\_\_

Federal Identification Number: \_\_\_\_\_

\_\_\_\_\_ Being duly sworn, states that he/she is the owner of (or a partner in) the entity submitting this DBE Certification Eligibility Affidavit. Further, the undersigned verifies that there have been no changes in the ownership, management, or control of the operations of said firm since its last certification eligibility review under 49 CFR 26.

Additionally, this entity agrees to submit herewith the following documentation:

1. Signed and dated copies for last year's Business Federal Tax return, including all schedules, **AND** all affiliated firms, **OR** a signed copy of "Application for Automatic Extension of Time to File Tax Return."
2. Copy of current New York State license(s) held by owner(s) which is necessary to perform the services of said firm; license must possess an expiration date.
3. Current Vehicle Registrations and Insurance Identification Cards.
4. Current Home State DBE Certification letter (**OUT OF STATE FIRMS ONLY**).

Any misrepresentation in this Affidavit will be grounds for terminating DBE Certification status with the New York State Department of Transportation (NYSDOT). In addition, the Applicant further understands that any misrepresentation made in this Affidavit is subject to both the civil and criminal laws of the State of New York.

\_\_\_\_\_  
SIGNATURE

Sworn before me this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_

\_\_\_\_\_  
Notary Public

**\*\*NOTE: THIS PAGE MUST BE SIGNED AND NOTARIZED**