

Reference : EXECUTIVE
Section : GOVERNANCE
Title : NEW YORK STATE INSPECTOR GENERAL - REPORTING
Policy Number : 01-04-04
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Revision Date :

I PURPOSE

The purpose of this policy is to provide all employees an open avenue of communication to the New York State Inspector General.

II POLICY

All employees shall report to the NYS Inspector General any information concerning allegations of corruption, fraud, criminal activity, conflicts of interest or abuse by the commissioners, officers or employees of the Niagara Frontier Transportation Authority or any persons having business dealings with the authority. Employees are encouraged to first report such instances internally or utilizing the NFTA's Fraud Hotline. (855-7401) The Internal Audit Department is charged with the responsibility to document, retain, investigate and/or refer to the New York State Inspector General complaints received via the hotline. Substantiated complaints will be reported to the Audit and Governance Committee of the Board of Commissioners.

The following is the address and telephone number to report such instances:

Office of the State Inspector General
State of New York
65 Court Street, 5th Floor
Buffalo, New York 14202
(716) - 847 - 7118
(716) - 847 -7155 (fax)

The NFTA's Audit and Governance Committee will review all reports and draft reports from the Inspector General and will serve as the point of contact with such Inspector General.

Note: This item was reviewed and approved during the Executive Committee meeting held on July 22, 2004.